

INFORMATION ON WOOD & COMPANY FINANCIAL SERVICES, A.S. SPÓŁKA AKCYJNA, ODDZIAŁ W POLSCE

Information on WOOD & COMPANY FINANCIAL SERVICES, A.S. SPÓŁKA AKCYJNA, ODDZIAŁ W POLSCE (“WOOD & COMPANY POLAND”) and its services is complementary to other information available at the website of WOOD & COMPANY FINANCIAL SERVICES at <https://www.wood.cz/mifid-information/>, published and provided to the client according to the rules of Directive 2014/65 /EU of the European Parliament and of the Council on markets in financial instruments (MiFID) and Commission Delegated Regulation (EU) 2017/565 supplementing Directive 2014/65/EU of the European Parliament and of the Council as regards organizational requirements and operating conditions for investment firms and defined terms for the purposes of that Directive.

1. NAME AND ADDRESS OF THE INVESTMENT FIRM AND CONTACT DETAILS ENABLING A CLIENT TO DIRECTLY CONTACT THE INVESTMENT FIRM

WOOD & Company Financial Services, a.s. Spółka Akcyjna, Oddział w Polsce
Budynek Skylight
ul. Złota 59
00-120 Warszawa
tel. +48 22 222 1530
e-mail: wood@wood.com

2. LANGUAGES IN WHICH CLIENT MAY COMMUNICATE WITH THE INVESTMENT FIRM

The client may contact WOOD & COMPANY POLAND in Polish or in English. All documents and information prepared by WOOD & COMPANY POLAND will be available in both Polish and English.

3. METHODS OF COMMUNICATION BETWEEN A CLIENT AND THE INVESTMENT FIRM

A client may contact WOOD & COMPANY POLAND by mail, by phone and by email – the address, telephone number and email address are indicated in Section 1 above.

Orders may be transferred to WOOD & COMPANY POLAND as provided for in the agreement with the client.

4. BROKERAGE LICENCE

WOOD & COMPANY POLAND represents that it operates under the brokerage licence granted by Decision of the Czech Securities Commission (Komise pro cenné papíry; currently the Czech National Bank (Česká národní banka), address: Na Příkopě 28, 115 03 Prague 1, the Czech Republic) No 43 / N / 193/2001/15 dated 3 January 2002, which was notified to the Financial Supervision Commission on 17 December 2008.

WOOD & COMPANY POLAND’s activities include the following services:

1. acceptance and transfer of orders to buy or sell financial instruments;
2. execution of orders for the account of the client placing the order;
3. purchase or sale of financial instruments for own account;
4. offering of financial instruments;
5. provision of services under standby underwriting agreements and firm commitment underwriting agreements or execution and performance of other similar agreements concerning financial instruments;
6. corporate advisory in the area of a capital structure, corporate strategy or other issues related to such structure or strategy;
7. advisory and other services relating to the mergers, demergers and acquisitions of companies;
8. preparation of investment analyses, financial analyses and other recommendations of a general nature relating to transactions in financial instruments;
9. additional services related to standby underwriting and firm commitment underwriting.

6. DETAILED RULES GOVERNING THE PROVISION OF SERVICES

Detailed terms and conditions for the provision of services are set forth in the agreement or terms and conditions governing the provision of given brokerage service.

7. BASIC RULES GOVERNING THE RESOLUTION OF CLIENTS' COMPLAINTS RELATED TO SERVICES

Rules for resolving client's complaints are contained in the Complaints Procedure, which is made available at <https://www.wood.cz/mifid-information/>.

8. BASIC RULES OF PROCEDURE TO BE APPLIED BY THE INVESTMENT FIRM IN THE EVENT OF A CONFLICT OF INTERESTS

Rules of procedure to be applied by WOOD & COMPANY POLAND in the event of a conflict of interests are set forth in the Policy for management of conflict of interests in provision of investment services. Description of this policy is made available at <https://www.wood.cz/mifid-information/>.

9. NATURE, FREQUENCY AND TIMING OF REPORTS ON THE PERFORMANCE OF THE BROKERAGE SERVICE

Nature, frequency and timing of reports on the performance of services provided to a client by WOOD & COMPANY POLAND are contained in the agreement relating to the provision of given brokerage service.

10. COSTS AND FEES RELATED TO BROKERAGE SERVICES

For the provision of brokerage services, the client will pay a fee to WOOD & COMPANY POLAND pursuant to the terms and conditions set forth in the agreement on the provision of the said services executed with the client.

A client may incur the costs of the maintenance by third parties of a securities account and the auxiliary cash account, as well as costs of the execution of transactions with the intermediation of the third party.